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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NEAUX  
Serial No.: 09/993,026  
Filed: November 14, 2001  
Confirmation No.: Unknown  
Due Date: February 10, 2002  
Title: ROOF-RACK BAR FOR AUTOMOBILE VEHICLES INTENDED TO BE MOUNTED ON NON-PARALLEL SIDE RAILS

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 036304967 US

Date of Deposit: February 8, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By:   
Chris Stordahl

## BOX MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Certified copy of a French application, Serial No. 00 14663, filed November 14, 2000, the right of priority of which is claimed under 35 U.S.C. 119
- Signed Combined Declaration and Power of Attorney
- Information Disclosure Statement, Form 1449, 6 References
- Submission of Formal Drawings, 5 Formal Drawings
- Checks in the amount of \$130.00 for missing parts completion fee
- Other: Communication re: Submission of Formal Drawings; Application Data Sheet; Communication re: Submission of Missing Parts; Notice to File Missing Parts; Petition Regarding Specification; 18 pages of substitute specification, 1 page of abstract
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: John J. Gresens  
Reg. No.: 38,112  
JG/tvm



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,026	11/14/2001	Jean-Claude Neaux	9320.138US01

23552  
 MERCHANT & GOULD PC  
 P.O. BOX 2903  
 MINNEAPOLIS, MN 55402-0903



CONFIRMATION NO. 6476

## FORMALITIES LETTER



\*OC000000007176134\*

Date Mailed: 12/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 2-19 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:*  
*Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

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- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

The following item(s) appear to have been omitted from the application:

- Page(s) 1 of the specification (description and claims).

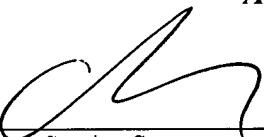
I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



09/993,026

PATENT

Date of Notice of Allowance: n/a  
Confirmation No.: Unknown

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NEAUX      Examiner: Unknown  
Serial No.: 09/993,026      Group Art Unit: Unknown  
Filed: November 14, 2001      Docket No.: 9320.138US01  
Title: ROOF-RACK BAR FOR AUTOMOBILE VEHICLES INTENDED TO BE MOUNTED ON NON-PARALLEL SIDE RAILS

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By:

Name: Chris Stordahl

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney and substitute specification and formal drawings. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: February 8, 2002

JJG/tvm

By   
John J. Gresens  
Reg. No. 33,112

S/N 09/993,026

*✓6*  
**PATENT**



**Date of Notice of Allowance:** n/a  
**Confirmation No.:** Unknown

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	NEAUX	Examiner:	Unknown
Serial No.:	09/993,026	Group Art Unit:	Unknown
Filed:	November 14, 2001	Docket No.:	9320.138US01
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By:   
Name: Chris Stordahl

**SUBMISSION OF FORMAL DRAWINGS**

Commissioner for Patents  
Attn: Official Draftsman  
Washington, D.C. 20231

Dear Sir:

Submitted herewith are 5 sheets of Formal Drawings for completion of this application, and in compliance with the requirements set forth in the Notice to File Missing Parts, mailed on December 10, 2001.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612) 332-5300

Dated: February 8, 2002

By: 

John V. Gresens  
Reg. No. 33,112  
JJG/tvm